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TC 1700

Response Under 37 C.F.R. § 1.116 -  
Expedited Procedure - Examining Group 1741

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NAOYUKI KOBAYASHI et al.

Serial No.: 09/787,021

Filed: April 18, 2001

For: METHOD FOR FORMING A LUBRICATIVE FILM FOR COLD WORKING

Attorney Docket No.: HSTI 0112 PUSA

Group Art Unit: 1741

Examiner: William T. Leader

14/E  
PS  
1/28/3

**AMENDMENT ACCOMPANYING RCE AND  
REQUEST FOR LIMITED SUSPENSION  
OF ACTION (37 C.F.R. 1.103(c))**

Box RCE  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Applicants hereby file this amendment accompanying their Request For Continued Examination (RCE) and request a suspension of action under 37 C.F.R. § 1.103(c) for a period not exceeding three months. The processing fee as set forth in 37 C.F.R. § 1.17(i) is included herewith.

Applicants note that the amendments made on November 15, 2002 and November 18, 2002 were not entered.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Box RCE, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

January 16, 2003  
Date of Deposit

William G. Abbatt  
Name of Person Signing

William T. Leader  
Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Naoyauki Kobayashi

Serial No.: 09/787,021

Filed: April 18, 2001

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TC 1700

Group Art Unit: 1741

Examiner: Leader, William T.

11741  
#  
#13  
AB  
1/28/3

For: METHOD FOR FORMING A LUBRICATIVE FILM FOR COLD WORKING

Attorney Docket No.: HSTI 0112 PUSA

**REQUEST FOR CONTINUED  
EXAMINATION (RCE) TRANSMITTAL**

Box RCE  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114  
of the above-identified application.

**1. Submission as required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously  
filed on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously  
filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_

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01 FC:1801  
02 FC:1251

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110.00 OP

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Box RCE, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C.

January 16, 2003  
Date of Deposit

William G. Abbatt  
Name of Person Signing

Signature

- b.   X   Enclosed
- i.   X   Amendment Accompanying RCE And Request For Limited  
Suspension Of Action (37 C.F.R. § 1.103(c))
- ii.        Affidavit(s)/Declaration(s)
- iii.        Information Disclosure Statement (IDS)
- iv.        Other

## 2. Miscellaneous

- a.   X   Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of   3   months
- b.        Other

### 3. Fees

- a.        The Director is hereby authorized to charge the following fees (deficiencies only), or credit any overpayments, to Deposit Account No. 02-3978
- i.   X   RCE fee required under 37 C.F.R. § 1.17(e)
- ii.   X   Extension of time fee under 37 C.F.R. §§ 1.136 and 1.17
- iii.        Other \_\_\_\_\_
- b.   X   Check in the amount of \$ 860.00 enclosed (the Director is hereby authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 02-3978)

Respectfully submitted,

## Naoyauki Kobayashi

By: W. G. Abbatt  
William G. Abbatt  
Registration No. 31,936  
Attorney for Applicant

Date: January 16, 2003

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